

JC672 U.S.
08/31/01

09-04-01

A
PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	PROP 98002C	
First Inventor or Application Identifier	Knight, Timothy O.	
Title	Stock Trading Application Program	
Express Mail Label No.	EL009858459US	

08/31/01
jc672

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Specification [Total Pages **80**]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. Drawing(s) (35 U.S.C. 113) [Total Sheets **3**]
4. Declaration / Power of Attorney [Total Pages **3**]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

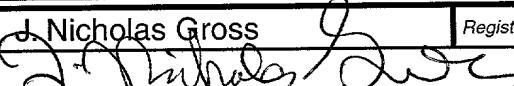
Continuation Divisional Continuation-in-part (CIP) of prior application No: **09,173,853**
Prior application information: Examiner **Thomas Joseph** Group / Art Unit: **2173**

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	23694	or <input type="checkbox"/> Correspondence address below (Insert Customer No. or Attach bar code label here)
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Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	J. Nicholas Gross		Registration No. (Attorney/Agent)	34,175
Signature			Date	8/31/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL

for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 440)

Complete if Known	
Application Number	Not Assigned
Filing Date	8/31/01
First Named Inventor	Knight
Examiner Name	Not Assigned
Group Art Unit	Not Assigned
Attorney Docket No.	PROP 98002C

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	501244
Deposit Account Name	J. Nicholas Gross

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)
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Fee	Fee	Fee	Fee Description
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Code (\$)	Code (\$)		
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101	710	201	355 Utility filing fee
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106	320	206	160 Design filing fee
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107	490	207	245 Plant filing fee
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108	710	208	355 Reissue filing fee
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114	150	214	75 Provisional filing fee
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Fee Paid

355

SUBTOTAL (1) (\$ 355)

2. EXTRA CLAIM FEES

Total Claims	25
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Independent Claims	4
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Multiple Dependent	
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Extra Claims		Fee from below	Fee Paid
-20** =	5	x 9	= 45
- 3** =	1	x 40	= 40
			=

Large Entity

Small Entity

Fee Code (\$)

Fee Code (\$)

Fee Description

Claims in excess of 20

103 18 203 9

Independent claims in excess of 3

102 80 202 40

Multiple dependent claim, if not paid

104 270 204 135

** Reissue independent claims over original patent

109 80 209 40

** Reissue claims in excess of 20 and over original patent

110 18 210 9

SUBTOTAL (2) (\$ 85)

SUBMITTED BY

Name (Print/Type)

J. Nicholas Gross

Registration No.
(Attorney/Agent)

34,175

Complete (if applicable)

Signature

J. Nicholas Gross

Telephone (415)551-8298

Date August 31, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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